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8 UNITED STATES DISTRICT COURT  
9 CENTRAL DISTRICT OF CALIFORNIA  
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11 Junah Chen, et al., ) Case No. CV 15-1509-JFW (JEMx)  
12 Plaintiff, )  
13 v. ) **SCHEDULING AND CASE MANAGEMENT**  
14 County of Santa Barbara, et ) **ORDER**  
15 al., )  
16 Defendants. )  
\_\_\_\_\_ )

17 The purpose of this Order is to notify the parties and  
18 their counsel of the deadlines and the schedule that will  
19 govern this action. SEE THE LAST PAGE OF THIS ORDER FOR THE  
20 SPECIFIED DATES. Ordinarily, the dates set forth on the last  
21 page are determined after reviewing the parties' Joint Report  
22 or consultation with the parties at the Scheduling  
23 Conference. Accordingly, the dates and requirements are  
24 firm. The Court is very unlikely to grant continuances, even  
25 if stipulated to by the parties, unless the parties establish  
26 good cause through a concrete showing. **Because this Order in**  
27 **some respects modifies the applicable Local Rules, counsel**  
28 **are advised to read it carefully to avoid default on the**

1 obligations established herein. Counsel are advised to pay  
2 particular attention to the requirements of the Court with  
3 respect to electronic filing, the filing of motions for  
4 summary judgment, and the documents to be submitted at the  
5 Pre-Trial Conference and Trial.

6 **1. ELECTRONIC FILING AND COURTESY COPIES**

7 All documents that are required to be filed in an  
8 electronic format pursuant to the Local Rules shall be filed  
9 electronically no later than 4:00 p.m. on the date due unless  
10 otherwise ordered by the Court. Any documents filed  
11 electronically after 4:00 p.m. on the date due will be  
12 considered late and may be stricken by the Court. Any  
13 documents that counsel attempt to file electronically which  
14 are improperly filed will not be accepted by the Court.

15 Counsel are ORDERED to deliver **2 copies** of all documents  
16 filed electronically to Chambers. For each document filed  
17 electronically, one copy shall be marked "CHAMBERS COPY" and  
18 the other copy shall be marked "COURTESY COPY." The  
19 "CHAMBERS COPY" and "COURTESY COPY" are collectively referred  
20 to herein as "Courtesy Copies." The Courtesy Copies of each  
21 electronically filed document must include on each page the  
22 running header created by the ECF system. In addition, on  
23 the first page of each Courtesy Copy, in the space between  
24 lines 1 - 7 to the right of the center, counsel shall include  
25 the date the document was e-filed and the document number.  
26 The Courtesy Copies shall be delivered to Chambers no later  
27 than 10:00 a.m. on the next business day after the document  
28 was electronically filed. All documents must be stapled or

1 bound by a two prong fastener, the electronic proof of  
2 service must be attached as the last page of each document,  
3 and the exhibits attached to any document must be tabbed.  
4 Counsel shall not staple the "COURTESY COPY" and "CHAMBERS  
5 COPY" together. The "COURTESY COPY" of all documents must be  
6 three-hole punched at the left margin with the oversized  
7 13/32" hole size, not the standard 9/32" hole size.

8 For any document that is not required to be filed  
9 electronically, counsel are ORDERED to deliver 1 conformed  
10 copy of the document, which shall be marked "COURTESY COPY,"  
11 to Chambers **at the time of filing**.

12 When a proposed order accompanies an electronic filing, a  
13 WordPerfect or Word copy of the proposed order, along with a  
14 copy of the PDF electronically filed main document, shall be  
15 e-mailed to JFW\_Chambers@cacd.uscourts.gov. The subject line  
16 of the e-mail shall be in the following format: court's  
17 divisional office, year, case type, case number, document  
18 control number assigned to the main document at the time of  
19 filing, judge's initials and filer's (party) name. Failure  
20 to comply with this requirement may result in the denial or  
21 striking of the request or the Court may withhold ruling on  
22 the request until the Court receives the required documents.

## 23 **2. DISCOVERY**

24 All discovery shall be completed by the discovery cut-off  
25 date specified on the last page of this Order. **THIS IS NOT**  
26 **THE DATE BY WHICH DISCOVERY REQUESTS MUST BE SERVED; IT IS**  
27 **THE DATE BY WHICH ALL DISCOVERY, INCLUDING EXPERT DISCOVERY,**  
28 **SHALL BE COMPLETED.** The Court does not enforce side

1 agreements to conduct discovery beyond the discovery cut-off  
2 date.

3 Any motion challenging the adequacy of responses to  
4 discovery must be heard sufficiently in advance of the  
5 discovery cut-off date to permit the responses to be obtained  
6 before that date if the motion is granted.

7 In an effort to provide further guidance to the parties,  
8 the Court notes the following:

9 **(a) Depositions**

10 All depositions shall be scheduled to commence  
11 sufficiently in advance of the discovery cut-off date to  
12 permit their completion and to permit the deposing party  
13 enough time to bring any discovery motions concerning the  
14 deposition prior to the cut-off date.

15 **(b) Written Discovery**

16 All interrogatories, requests for production of  
17 documents, and requests for admissions shall be served  
18 sufficiently in advance of the discovery cut-off date to  
19 permit the discovering party enough time to challenge (via  
20 motion practice) responses deemed to be deficient.

21 **(c) Discovery Motions**

22 Whenever possible, the Court expects the parties to  
23 resolve discovery issues among themselves in a courteous,  
24 reasonable, and professional manner. If they do so, resort  
25 to the Court for guidance in discovery is seldom necessary.  
26 The Magistrate Judge assigned to this case will rule on  
27 discovery motions and protective orders.

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1           **(d) Expert Discovery**

2           If expert witnesses are to be called at trial, the  
3 parties shall designate affirmative experts to be called at  
4 trial and shall provide reports required by Fed.R.Civ.P.  
5 26(a)(2)(B) not later than eight weeks prior to the discovery  
6 cut-off date. Rebuttal expert witnesses shall be designated  
7 and reports provided as required by Fed.R.Civ.P. 26(a)(2)(B)  
8 not later than five weeks prior to the discovery cut-off  
9 date. Any non-retained expert designated by a party as an  
10 affirmative or rebuttal expert shall also prepare and provide  
11 an expert report in the form described by Fed.R.Civ.P.  
12 26(a)(2)(B). Expert witnesses will be bound by the opinions  
13 expressed in their reports prepared in accordance with  
14 Fed.R.Civ.P. 26(a)(2)(B) and will not be permitted to offer  
15 new opinions at trial. Failure to timely comply with this  
16 deadline will result in the expert being excluded at trial as  
17 a witness.

18       **3. MOTIONS - GENERAL PROVISIONS**

19       All law and motion matters, except for motions in limine,  
20 must be set for hearing (not filed) by the motion cut-off  
21 date specified on the last page of this Order. The Court  
22 will deny or strike late-filed motions. The title page of  
23 all motions must state the hearing date and time for the  
24 motion, the Pre-Trial Conference date, and the Trial date.

25       Once a party has noticed a motion for hearing on a  
26 particular date, the hearing shall not be continued without  
27 leave of Court. If the Court concludes that a motion can be

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1 resolved without argument, the Court will notify the parties  
2 in advance.

3 If counsel does not intend to oppose a motion, counsel  
4 shall immediately inform the Courtroom Deputy by e-mail and  
5 immediately file a Notice of Non-Opposition in accordance  
6 with the Local Rules. The parties should note that failure  
7 to timely respond to any motion shall be deemed by the Court  
8 as consent to the granting of the motion. See Local Rules.

9 Ex parte practice is strongly discouraged. The Court  
10 will require strict adherence to proper ex parte procedures  
11 for any ex parte application filed with the Court. See Local  
12 Rules and the Court's Standing Order.

13 **(a) Applications and Stipulations to Extend Time**

14 No applications or stipulations extending the time to  
15 file any required document or to continue any date are  
16 effective unless and until the Court approves them.  
17 Applications and/or stipulations to extend the time to file  
18 any required document or to continue any hearing, Pre-Trial  
19 date, or the Trial date must set forth the following:

20 (i) the existing due date or hearing date, as well  
21 as all dates currently set by the Court in this Order,  
22 including the discovery cut-off date, the Pre-Trial  
23 Conference date, and the Trial date;

24 (ii) the new dates proposed by the parties;

25 (iii) specific, concrete reasons supporting good  
26 cause for granting the extension; and

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1 (iv) whether there have been prior requests for  
2 extensions by any party, and whether those requests were  
3 granted or denied by the Court.

4 All applications and stipulations must be accompanied by  
5 a separate and independent proposed order which must be  
6 submitted to the Court in accordance with the Local Rules and  
7 this Order. Failure to submit a separate proposed order may  
8 result in the denial of the application or stipulation or the  
9 Court may withhold ruling on the application or stipulation  
10 until the Court receives a separate proposed order.

11 **(b) Joinder of Parties and Amendment of Pleadings**

12 The deadline for joining parties and amending pleadings  
13 is sixty days from the date of this Order. Any motions to  
14 join other parties or for leave to amend the pleadings shall  
15 be filed within twenty days of the date of this Order so that  
16 they can be heard and decided prior to the deadline.

17 In addition to the requirements of the Local Rules, all  
18 motions to amend the pleadings shall: (1) state the effect of  
19 the amendment; (2) be serially numbered to differentiate the  
20 amendment from previous amendments; and (3) state the page,  
21 line number(s), and wording of any proposed change or  
22 addition of material. The parties shall deliver to Chambers  
23 a redlined version of the proposed amended pleading  
24 indicating all additions and/or deletions of material.

25 **(c) Withdrawal or Substitution of Counsel**

26 The Court will not grant a request for approval of  
27 substitution of counsel after an action has been set for  
28 trial unless: (1) counsel files the request using the most

1 recent version of the appropriate forms provided on the  
2 Court's website; and (2) the request is accompanied by a  
3 declaration signed by a substituting attorney indicating that  
4 such attorney has been advised of the trial date and will be  
5 prepared to proceed with trial as scheduled. Any request for  
6 substitution of counsel which is not on the proper form or is  
7 not accompanied by a declaration signed by a substituting  
8 attorney as set forth above will be denied.

9 Counsel who wish to withdraw and substitute their client  
10 *pro se* must file a regularly noticed motion to withdraw which  
11 demonstrates good cause for the request to withdraw. The  
12 Court will not consider such a motion unless: (1) the motion  
13 is accompanied by a declaration signed by the client  
14 indicating that the client consents to the withdrawal, has  
15 been advised of the time and date of trial, and will be  
16 prepared to represent themselves *pro se* on the scheduled  
17 trial date; or (2) the Court is otherwise satisfied for good  
18 cause shown that the attorney should be permitted to  
19 withdraw.

#### 20 **4. SUMMARY JUDGMENT MOTIONS**

21 The Court will only entertain ONE summary judgment motion  
22 by a party. In the event a party believes that more than one  
23 summary judgment motion is necessary to expedite the  
24 resolution of issues in the action, the party must obtain  
25 leave of court to file more than one summary judgment motion.  
26 The Court will require strict adherence to the following  
27 requirements:

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1           **(a) Statement Of Uncontroverted Facts and Conclusions of**  
2           **Law and Statement of Genuine Disputes of Material**  
3           **Fact**

4           The Statement of Uncontroverted Facts and Conclusions of  
5 Law is to be prepared in a two column format. The left hand  
6 column should set forth the allegedly undisputed fact or  
7 conclusion or law. The right hand column should set forth  
8 the evidence that supports the factual statement or  
9 conclusion of law. The factual statements and conclusions of  
10 law should be set forth in sequentially numbered paragraphs.  
11 Each paragraph should contain a narrowly focused statement of  
12 fact or conclusion of law. Each numbered paragraph should  
13 address a single subject in as concise a manner as possible.

14           The opposing party's Statement of Genuine Disputes of  
15 Material Fact must track the movant's Statement of  
16 Uncontroverted Facts exactly as prepared. The document must  
17 be in two columns; the left hand column must restate the  
18 allegedly undisputed fact, and the right hand column must  
19 restate the moving party's evidence in support of the fact,  
20 and indicate either undisputed or disputed. The opposing  
21 party may dispute all or only a portion of the statement, but  
22 if disputing only a portion, must clearly indicate what part  
23 is being disputed. Where the opposing party is disputing the  
24 fact in whole or part, the opposing party must, in the right  
25 hand column, set forth the evidence controverting the fact.  
26 Where the opposing party is disputing the fact on the basis  
27 of an evidentiary objection, the party must cite to the  
28 evidence alleged to be objectionable and state the ground of

1 the objection and nothing more. Counsel are reminded that  
2 unwarranted factual denials made in the context of a Summary  
3 Judgment Motion are subject to Rule 11 sanctions. **No**  
4 **argument should be set forth in this document.**

5 The opposing party may submit additional material facts  
6 that bear on or relate to the issues raised by the movant,  
7 which shall follow the format described above for the moving  
8 party's Statement of Uncontroverted Facts. These additional  
9 facts shall follow the movant's facts, shall continue in  
10 sequentially numbered paragraphs (*i.e.*, if movant's last  
11 statement of fact was set forth in paragraph 30, then the  
12 first new fact will be set forth in paragraph 31), and the  
13 evidence that supports the new fact shall be set forth in the  
14 right hand column.

15 The moving party, together with its reply, shall file a  
16 separate document entitled "Combined Statement of Facts" that  
17 (1) restates the entirety of the opposing party's Statement  
18 of Genuine Disputes of Material Fact and (2) responds to any  
19 additional facts in the same manner and format that the  
20 opposing party must follow in responding to the Statement of  
21 Uncontroverted Facts, as described above.

22 **(b) Supporting Evidence**

23 No party should submit any evidence other than the  
24 specific items of evidence or testimony necessary to support  
25 or controvert a proposed statement of undisputed fact. Thus,  
26 for example, entire sets of interrogatory responses, or  
27 documents that do not specifically support or controvert  
28 material in the Statements should not be submitted in support

1 of or in opposition to a motion for summary judgment. Any  
2 such material will not be considered.

3 Evidence submitted in support of or in opposition to a  
4 motion for summary judgment should be submitted either by way  
5 of stipulation or as exhibits to declarations sufficient to  
6 authenticate the proffered evidence, and should not be  
7 attached to the memorandum of points and authorities. The  
8 Court will accept counsel's authentication of deposition  
9 transcripts, written discovery responses, and the receipt of  
10 documents in discovery if the fact that the document was in  
11 the opponent's possession is of independent significance.  
12 Documentary evidence as to which there is no stipulation  
13 regarding foundation must be accompanied by the testimony,  
14 either by declaration or properly authenticated deposition  
15 transcript, of a witness who can establish its authenticity.

16 All exhibits submitted in support of, and in opposition  
17 to, a motion for summary judgment shall be consecutively  
18 numbered; no two exhibits shall bear the same number. For  
19 example, if the moving party submits one declaration and one  
20 request for judicial notice, with four exhibits attached to  
21 each document, the exhibits attached to the declaration shall  
22 be marked 1 through 4, and the exhibits attached to the  
23 request for judicial notice shall be marked 5 through 8. The  
24 opposing party's exhibits shall then commence with number 9.  
25 Immediately above or below the page number on each page of an  
26 exhibit, the parties shall mark "[Party Name]'s Summary  
27 Judgment Exhibit No. \_\_\_\_".

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1 In addition to the foregoing, any party who offers  
2 deposition testimony in support of or in opposition to a  
3 motion for summary judgment shall prepare and file a separate  
4 document for each deponent which contains only those  
5 questions and answers, and any objections made at the time of  
6 the deposition to those questions, that a party is relying on  
7 to support their motion, with a citation to the appropriate  
8 page and line number(s) in the deposition transcript.

9 The parties shall also deliver to chambers (but not file)  
10 one copy of the entire deposition transcript (single-sided  
11 condensed transcript including the word index) of each  
12 deposition referenced. The deposition transcripts shall be  
13 placed in a slant D-ring binder with each transcript  
14 separated by a tab divider on the right side and three-hole  
15 punched at the left margin with the oversized 13/32" hole  
16 size, not the standard 9/32" hole size. The deposition  
17 transcript binder shall include a Table of Contents and the  
18 spine of each binder shall be labeled with its contents.

19 The Court's Courtesy Copies of all evidence in support of  
20 or in opposition to a motion for summary judgment shall be  
21 submitted in a separately bound compendium and shall include  
22 a Table of Contents. If the supporting evidence exceeds  
23 fifty pages, each Courtesy Copy of the supporting evidence  
24 shall be placed in a slant D-ring binder with each item of  
25 evidence separated by a tab divider on the right side. All  
26 documents contained in the binder must be three-hole punched  
27 with the oversized 13/32" hole size, not the standard 9/32"

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1 hole size. The spine of each binder shall be labeled with its  
2 contents.

3 In addition to the foregoing, the parties shall meet and  
4 confer and prepare two binders, one binder containing a joint  
5 set of all exhibits relied on by the parties in support of  
6 and in opposition to the motion for summary judgment ("Joint  
7 Exhibit Binder"), and the other binder containing a joint set  
8 of all declarations relied on by the parties in support of  
9 and in opposition to the motion for summary judgment ("Joint  
10 Declarations Binder"). The parties shall deliver to Chambers  
11 (but not file) two copies of both the Joint Exhibit Binder  
12 and Joint Declarations Binder in conjunction with the filing  
13 of the Reply, one set of which shall be marked "CHAMBERS  
14 COPY," and the other set of which shall be marked "COURTESY  
15 COPY."

16 The Joint Exhibit Binder and Joint Declarations Binder  
17 shall include a Table of Contents, and the spine of each  
18 binder shall be labeled with its contents. The Table of  
19 Contents for the Joint Exhibit Binder and Joint Declarations  
20 Binder shall specifically describe each summary judgment  
21 exhibit or declaration and include a citation to each  
22 paragraph number in the Combined Statement of Facts that  
23 refers to the exhibit or declaration (e.g. Plaintiff's  
24 Summary Judgment Exhibit No. 1 - Letter from John Doe to Jane  
25 Doe dated January 1, 2007 Re: Reasons for Jane Doe's  
26 termination) (Combined Statement of Facts Nos. 2, 8, 10). In  
27 preparing the Table of Contents, counsel should not create a  
28 new set of exhibit numbers. Counsel shall use the same

1 exhibit numbers that were used to identify the documents in  
2 the Motion for Summary Judgment.

3 **(c) Objections to Evidence**

4 If a party disputes a fact based in whole or in part on  
5 an evidentiary objection, the ground for the objection, as  
6 indicated above, should be stated in the Statement of Genuine  
7 Disputes of Material Fact or Combined Statement of Facts but  
8 not argued in that document. Evidentiary objections are to  
9 be addressed in a separate memorandum to be filed with the  
10 opposition or reply brief of the party. This memorandum  
11 should be organized to track the paragraph numbers of the  
12 Statement of Genuine Disputes of Material Fact or Combined  
13 Statement of Facts in sequence. It should identify the  
14 specific item of evidence to which objection is made, or in  
15 the case of deposition testimony it should quote the relevant  
16 testimony, the ground for the objection, and a very brief  
17 argument with citation to authority as to why the objection  
18 is well taken. The following is an example of the format  
19 contemplated by the Court:

20 Combined Statement of Facts Paragraph 10: Objection to  
21 the supporting deposition testimony of Jane Smith [quote  
22 testimony] at 60:1-10 on the grounds that the statement  
23 constitutes inadmissible hearsay and no exception is  
24 applicable. To the extent it is offered to prove her  
25 state of mind, it is irrelevant because her state of mind  
26 is not in issue. Fed. R. Evid. 801, 802.

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1 DO NOT SUBMIT BLANKET OR BOILERPLATE OBJECTIONS TO THE  
2 OPPONENT'S STATEMENT OF FACTS. THESE WILL BE DISREGARDED AND  
3 OVERRULED.

4 (d) The Memorandum of Points and Authorities

5 The movant's memorandum of points and authorities should  
6 be in the usual form required under Local Rules and should  
7 contain a narrative statement of facts as to those aspects of  
8 the case that are before the Court. All facts should be  
9 supported with citations to the paragraph number in the  
10 Statement of Uncontroverted Facts that supports the factual  
11 assertion and not to the underlying evidence.

12 Unless the case involves some unusual twist, the motion  
13 need only contain a brief statement of the Fed.R.Civ.P. 56  
14 standard; the Court is familiar with the Rule and with its  
15 interpretation under *Celotex* and its progeny. If at all  
16 possible, the argument should be organized to focus on the  
17 pertinent elements of the claim(s) for relief or defense(s)  
18 in issue, with the purpose of showing the existence or non-  
19 existence of a genuine issue of material fact for trial on  
20 that element of the claim or defense.

21 Likewise, the opposition memorandum of points and  
22 authorities should be in the usual form required by the Local  
23 Rules. Where the opposition memorandum sets forth facts,  
24 those facts should be supported with citations to the  
25 paragraph number in the Statement of Genuine Disputes of  
26 Material Fact and not to the underlying evidence.

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1           **(e) Proposed Statement of Decision**

2           Within two days of the filing of the Reply, each party  
3 shall lodge a Proposed Statement of Decision, which shall  
4 contain a statement of the relevant facts and applicable law  
5 with citations to case law and the record. The Proposed  
6 Statement of Decision shall not exceed ten pages and shall be  
7 in a form that would be appropriate for the Court to enter as  
8 its final order on the motion. The Proposed Statement of  
9 Decision shall be submitted to the Court in accordance with  
10 the Local Rules.

11           **(f) Timing**

12           Parties should not wait until the motion cut-off date to  
13 bring motions for summary judgment or partial summary  
14 judgment. Early completion of non-expert discovery and  
15 filing of motions for summary judgment may eliminate or  
16 reduce the need for expensive expert depositions which are  
17 normally conducted in the last stages of discovery.

18           **Caveat: Failure of the moving party to cooperate with or**  
19 **cooperate in all of the foregoing procedures regarding**  
20 **summary judgment motions will result in the denial of the**  
21 **Motion for Summary Judgment. If a party fails to respond to**  
22 **a Motion for Summary Judgment, the Court will assume that the**  
23 **material facts as claimed and adequately supported by the**  
24 **moving party are admitted to exist without controversy, which**  
25 **may result in the granting of the Motion for Summary**  
26 **Judgment.**

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1     **5.    MOTIONS IN LIMINE**

2           The Court will only entertain a maximum of five motions  
3 in limine by a party. In the event a party believes that  
4 more than five motions in limine are necessary, the party  
5 must obtain leave of Court to file more than five motions in  
6 limine. The Court will not hear or resolve motions in limine  
7 that are disguised summary judgment motions. No application  
8 to file under seal will be granted with respect to a motion  
9 in limine or any documents submitted with the motion in  
10 limine.

11          Before filing any motion in limine, counsel for the  
12 parties shall confer in a good faith effort to eliminate the  
13 necessity for hearing the motion in limine or to eliminate as  
14 many of the disputes as possible. It shall be the  
15 responsibility of counsel for the moving party to arrange for  
16 this conference. The conference shall take place in person  
17 within ten calendar days of service upon opposing counsel of  
18 a letter requesting such conference, but in no event later  
19 than twenty-one days before the Pre-Trial Conference. Unless  
20 counsel agree otherwise, the conference shall take place at  
21 the office of the counsel for the moving party. If both  
22 counsel are not located in the same county in the Central  
23 District, the conference may take place by telephone. The  
24 moving party's letter shall identify the testimony, exhibits,  
25 or other specific matters alleged to be inadmissible and/or  
26 prejudicial, shall state briefly with respect to each such  
27 matter the moving party's position (and provide any legal

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1 authority which the moving party believes is dispositive),  
2 and shall specify the terms of the order to be sought.

3 If counsel are unable to resolve their differences, they  
4 shall prepare and file a separate, sequentially numbered  
5 Joint Motion in Limine for each issue in dispute which  
6 contains a clear caption which identifies the moving party  
7 and the nature of the dispute (e.g., "Plaintiff's Motion in  
8 Limine #1 to exclude the testimony of Defendant's expert").  
9 Each Joint Motion in Limine shall consist of one document  
10 signed by all counsel. The Joint Motion in Limine shall  
11 contain a clear identification of the testimony, exhibits, or  
12 other specific matters alleged to be inadmissible and/or  
13 prejudicial and a statement of the specific prejudice that  
14 will be suffered by the moving party if the motion is not  
15 granted. The identification of the matters in dispute shall  
16 be followed by each party's contentions and each party's  
17 memorandum of points and authorities. The title page of the  
18 Joint Motion in Limine must state the Pre-Trial Conference  
19 date, hearing date for the motions in limine, and Trial date.

20 Joint Motions in Limine made for the purpose of  
21 precluding the mention or display of inadmissible and/or  
22 prejudicial matter in the presence of the jury shall be  
23 accompanied by a declaration that includes the following:  
24 (1) a clear identification of the specific matter alleged to  
25 be inadmissible and/or prejudicial; (2) a representation to  
26 the Court that the subject of the motion in limine has been  
27 discussed with opposing counsel, and that opposing counsel  
28 has either indicated that such matter will be mentioned or

1 displayed in the presence of the jury before it is admitted  
2 in evidence or that counsel has refused to stipulate that  
3 such matter will not be mentioned or displayed in the  
4 presence of the jury unless and until it is admitted in  
5 evidence; and (3) a statement of the specific prejudice that  
6 will be suffered by the moving party if the motion in limine  
7 is not granted.

8 Unless ordered by the Court, no supplemental or separate  
9 memorandum of points and authorities shall be filed by either  
10 party in connection with any motion in limine.

11 The Court's Courtesy Copies of all evidence in support of  
12 or in opposition to a motion in limine, including  
13 declarations and exhibits to declarations, shall be submitted  
14 in a separately bound volume and shall include a Table of  
15 Contents. If the supporting evidence exceeds fifty pages,  
16 each Courtesy Copy of the supporting evidence shall be placed  
17 in a slant D-ring binder with each item of evidence separated  
18 by a tab divider on the right side, and the spine of the  
19 binder shall be labeled with its contents. All documents  
20 contained in the binder must be three-hole punched with the  
21 oversized 13/32" hole size, not the standard 9/32" hole size.

22 The Court will not consider any motion in limine in the  
23 absence of a joint motion or a declaration from counsel for  
24 the moving party establishing that opposing counsel: (a)  
25 failed to confer in a timely manner; (b) failed to provide  
26 the opposing party's portion of the joint motion in a timely  
27 manner; or (c) refused to sign and return the joint motion  
28 after the opposing party's portion was added.

1 Unless otherwise ordered by the Court, motions in limine  
2 should be filed and will be heard on the dates specified on  
3 the last page of this Order. Unless the Court in its  
4 discretion otherwise allows, no motions in limine shall be  
5 filed or heard on an ex parte basis, absent a showing of  
6 irreparable injury or prejudice not attributable to the lack  
7 of diligence of the moving party.

8 The failure of any counsel to comply with or cooperate in  
9 the foregoing procedures will result in the imposition of  
10 sanctions, including a resolution of the issue against the  
11 party refusing to cooperate.

## 12 **6. PRE-TRIAL CONFERENCE AND LOCAL RULE 16 FILINGS**

### 13 **(a) General Provisions**

14 The Pre-Trial Conference ("PTC") will be held on the date  
15 specified on the last page of this Order, unless the Court  
16 expressly waived a PTC. If adjustments in the Court's  
17 calendar to accommodate congestion become necessary, the  
18 Court may re-schedule the PTC instead of the trial date.  
19 Therefore, the parties should assume that if the PTC goes  
20 forward, the trial will go forward without continuance,  
21 although some brief period of trailing may prove necessary.

22 The lead trial attorney on behalf of each party shall  
23 attend both the PTC and all meetings of the parties in  
24 preparation for the PTC, unless excused for good cause shown  
25 in advance of the PTC.

26 A continuance of the PTC at the parties' request or by  
27 stipulation is highly unlikely. **Specifically, failure to**  
28 **complete discovery is not a ground for continuance.** In the

1 unlikely event that the Court agrees to continue the PTC, the  
2 trial date is likely to be delayed as a result. If a change  
3 in the trial date is necessitated or likely because of the  
4 Court's calendar or otherwise, modifications of that date  
5 will be discussed at the PTC.

6 At the PTC, the parties should be prepared to discuss  
7 means of streamlining the trial, including, but not limited  
8 to: bifurcation; presentation of foundational and non-  
9 critical testimony and direct testimony by deposition  
10 excerpts; narrative summaries and/or stipulations as to the  
11 content of testimony; presentation of testimony on direct  
12 examination by affidavit or by declaration subject to cross-  
13 examination; and qualification of experts by admitted  
14 resumes. The Court will also discuss settlement.

15 **(b) Form of Pre-Trial Conference Order ("PTCO")**

16 The proposed PTCO shall be filed by the date specified on  
17 the last page of this Order. Adherence to this time  
18 requirement is necessary for in-chambers preparation of the  
19 matter. The form of the proposed PTCO shall comply with  
20 Appendix A to the Local Rules and the following:

21 (i) Place in "ALL CAPS" and in **bold** the separately  
22 numbered headings for each category in the PTCO (e.g., "**1.**  
23 **THE PARTIES**" or "**7. CLAIMS AND DEFENSES OF THE PARTIES**").

24 (ii) Include a Table of Contents at the beginning.

25 (iii) In specifying the surviving pleadings, state  
26 which claims or counterclaims have been dismissed or  
27 abandoned (e.g., "Plaintiff's second cause of action for  
28 breach of fiduciary duty has been dismissed."). Also, in

1 multiple party cases where not all claims or counterclaims  
2 will be prosecuted against all remaining parties on the other  
3 side, specify to which party each claim or counterclaim  
4 is directed.

5 (iv) In drafting the PTCO, the Court expects that  
6 the parties will attempt to agree on and set forth as many  
7 uncontested facts as possible. A carefully drafted and  
8 comprehensively stated stipulation of facts will assist the  
9 Court in preparing for the Pre-Trial Conference.

10 (v) In specifying the parties' claims and defenses  
11 in Section 7 of the PTCO, each party shall closely follow the  
12 examples set forth in Appendix A of the Local Rules.

13 (vi) The Court may submit fact issues to the jury in  
14 the form of findings on a special verdict form. The issues  
15 of fact should track the elements of a claim or defense on  
16 which the jury will be required to make findings.

17 (vii) If expert witnesses are to be called at trial,  
18 each party must list and identify its respective expert  
19 witnesses, both retained and non-retained. Failure of a  
20 party to list and identify an expert witness in the PTCO  
21 shall preclude the party from calling that expert witness at  
22 trial.

23 (c) Rule 16 Filings; Memoranda; Witness Lists; Exhibit  
24 Lists

25 The parties must comply fully with the requirements of  
26 Local Rule 16. They shall file carefully prepared Memoranda  
27 of Contentions of Fact and Law (which may also serve as the  
28 trial brief), along with their respective Witness Lists and

1 Exhibit Lists, all in accordance with the Local Rules. See  
2 the last page of this Order for applicable dates.

3 **(d) Summary of Witness Testimony and Time Estimates**

4 Counsel shall prepare a list of their witnesses,  
5 including a brief summary (two to three paragraphs) of each  
6 witness's expected testimony, an estimate of the length of  
7 time needed for direct examination, and whether the witness  
8 will testify by deposition or in person. Counsel shall  
9 exchange these lists with opposing counsel. **Counsel shall**  
10 **jointly file a single list of witness testimony summaries,**  
11 **including estimates for direct examination of their own**  
12 **witnesses and estimates for cross-examination of opposing**  
13 **witnesses.** The joint witness testimony summaries shall be  
14 filed at the same time counsel submit the PTCO. If a party  
15 intends to offer deposition testimony into evidence at trial,  
16 the party shall comply with the Local Rules.

17 **(e) Pre-Trial Exhibit Stipulation**

18 The parties shall prepare a Pre-Trial Exhibit Stipulation  
19 which shall contain each party's numbered list of all trial  
20 exhibits, with objections, if any, to each exhibit including  
21 the basis of the objection and the offering party's response.  
22 All exhibits to which there is no objection shall be deemed  
23 admitted. The parties shall also identify each witness they  
24 anticipate will testify about and/or lay the foundation for  
25 the exhibit. All parties shall stipulate to the authenticity  
26 of exhibits whenever possible, and the Pre-Trial Exhibit  
27 Stipulation shall identify any exhibits for which

28 / / /

1 authenticity has not been stipulated to and the specific  
 2 reasons for the party's failure to stipulate.

3 The Pre-Trial Exhibit Stipulation shall be substantially  
 4 in the following form:

5 Pre-Trial Exhibit Stipulation

6 Plaintiff(s)' Exhibits

7 Number Description Witness If Objection, State Grounds Response to Objection

8 Defendant(s)' Exhibits

9 Number Description Witness If Objection, State Grounds Response to Objection

10 The Pre-Trial Exhibit Stipulation shall be filed at the  
 11 same time counsel file the PTCO. Failure to comply with this  
 12 paragraph shall constitute a waiver of all objections.

13 **DO NOT SUBMIT BLANKET OR BOILERPLATE OBJECTIONS TO THE**  
 14 **OPPOSING PARTY'S EXHIBITS. THESE WILL BE DISREGARDED AND**  
 15 **OVERRULED.**

16 **(f) Jury Instructions, Verdict Forms, Special**  
 17 **Interrogatories**

18 Fourteen days before the required Local Rule 16-2  
 19 meeting, the parties shall exchange proposed jury  
 20 instructions, verdict forms, and, if necessary, special  
 21 interrogatories. Seven days before the meeting, counsel  
 22 shall exchange written objections, if any, to the proposed  
 23 jury instructions, verdict forms, and special  
 24 interrogatories. At the required meeting, lead counsel  
 25 shall confer with the objective of submitting one set of  
 26 agreed upon instructions, a verdict form, and, if necessary,  
 27 special interrogatories.

28 / / /



1        If lead counsel agree upon one complete set of jury  
2 instructions, they shall file a joint set of proposed jury  
3 instructions, arranged in a logical sequence with each  
4 instruction sequentially numbered, and identified as  
5 "Stipulated Instruction No. \_\_ Re \_\_\_\_\_," with the blanks  
6 filled in as appropriate. If the parties cannot agree upon  
7 one complete set of jury instructions, they shall file the  
8 following two joint documents with the Court:

9            (i) A joint set of proposed jury instructions  
10 arranged in a logical sequence with each instruction  
11 sequentially numbered. If undisputed, an instruction shall  
12 be identified as "Stipulated Instruction No. \_\_ Re \_\_\_\_\_,"  
13 with the blanks filled in as appropriate. If disputed, each  
14 alternate version of the disputed instruction shall be  
15 inserted together (back to back) in their logical place in  
16 the overall sequence. Each such disputed instruction shall  
17 be identified as "Disputed Instruction No. \_\_ Re \_\_\_\_\_  
18 Proposed By \_\_\_\_\_," with the blanks filled in as  
19 appropriate. All disputed versions of an instruction shall  
20 bear the same instruction number. If a party does not have a  
21 counter-version of an instruction and simply contends no such  
22 instruction should be given, then that party should so state  
23 (and explain why) on a separate page inserted in lieu of an  
24 alternate version; and

25            (ii) A joint memorandum of law in support of each  
26 party's disputed instructions, organized by instruction  
27 number. The joint memorandum of law shall quote the text of  
28 each disputed instruction and shall set forth each party's

1 respective position and legal authority, immediately after  
 2 the text of each disputed instruction.

3 Each proposed instruction, whether agreed upon or  
 4 disputed, shall (a) be set forth in full on a separate page;  
 5 (b) embrace only one subject or principle of law; (c) cite to  
 6 the legal authority for or source of the instruction; and (d)  
 7 reference the claim for relief to which the instruction  
 8 relates with a citation to Section 7 of the PTCO.

9 **A Table of Contents shall be included with all jury**  
 10 **instructions submitted to the Court.** The Table of Contents  
 11 shall set forth the following:

- 12 (i) The number of the instruction;
- 13 (ii) A brief title of the instruction;
- 14 (iii) Whether it is undisputed or disputed;
- 15 (iv) The source of the instruction; and
- 16 (v) The page number of the instruction.

17 For example:

18 <u>Number</u>	<u>Title</u>	<u>Source</u>	<u>Page No.</u>
19			
20 1	Burden of Proof (Undisputed)	9th Cir. Man. of Model Jury Instr. 5.1	5
21			

22 The Court directs counsel to use the instructions from  
 23 the Ninth Circuit Manual of Model Jury Instructions (West  
 24 Publishing, most recent edition) where applicable. Where  
 25 California law is to be applied and the above instructions  
 26 are not applicable, the Court prefers counsel to use the  
 27 Judicial Council of California Civil Jury Instructions  
 28 ("CACI") (LexisNexis Matthew Bender, most recent edition).

1 If neither of these sources is applicable, counsel are  
2 directed to use the instructions from O'Malley, Grenig and  
3 Lee, Federal Jury Practice and Instructions (West Group, most  
4 recent edition). Any modifications made to the original form  
5 instruction from the foregoing sources (or any other form  
6 instructions) must be specifically identified, along with the  
7 authority supporting the modification. **Counsel shall not**  
8 **submit proposed preliminary instructions to be given to the**  
9 **jury prior to opening statements.**

10 If the parties agree upon a verdict form and/or special  
11 interrogatories, they shall file a joint verdict form and/or  
12 special interrogatories, with the questions arranged in a  
13 logical sequence. If the parties cannot agree upon a verdict  
14 form and/or special interrogatories, they shall file a joint  
15 document containing each party's alternative version along  
16 with a brief explanation of each party's respective position.

17 The joint set of proposed jury instructions, the joint  
18 memorandum of law, and verdict form(s) and/or special  
19 interrogatories are to be filed with the PTCO and other Local  
20 Rule 16 documents. Courtesy Copies shall be provided to the  
21 Court in accordance with Section 1 of this Order. **In**  
22 **addition, the parties shall e-mail the joint set of proposed**  
23 **jury instructions, joint memorandum of law, and verdict**  
24 **form(s) and/or special interrogatories in WordPerfect or Word**  
25 **format to the Chambers' e-mail address**  
26 **(JFW\_Chambers@cacd.uscourts.gov) at the time of filing.**

27 Immediately after the Court's final ruling on the  
28 disputed jury instructions, counsel shall file one final

1 "clean set" of jury instructions, which shall be sent into  
2 the jury room for the jury's use during deliberations. The  
3 "clean set" shall contain only the text of each instruction  
4 set forth in full on each page, with the caption "Court's  
5 Instruction No. \_\_\_\_" (eliminating supporting authority,  
6 citations to the PTCO, etc.). Counsel shall also e-mail the  
7 final "clean set" of jury instructions in WordPerfect or Word  
8 format to the Chambers' e-mail address  
9 (JFW\_Chambers@cacd.uscourts.gov) at the time of filing.

10 **Caveat: The failure of any counsel to comply with or**  
11 **cooperate in all of the foregoing procedures regarding jury**  
12 **instructions and/or verdict forms will constitute a waiver of**  
13 **all objections to the jury instructions and/or verdict form**  
14 **used by the Court.**

15 **(g) Real-Time Reporting Requirement**

16 Each party must file with the Court, at the same time  
17 counsel submit the PTCO, a document for the Court Reporter  
18 which contains proper names, unusual or scientific terms, or  
19 any foreign or uncommon words that are likely to be used by  
20 the parties during the PTC and the Trial. Each party shall  
21 also e-mail a copy of the document to the Chambers' e-mail  
22 address (JFW\_Chambers@cacd.uscourts.gov) at the time of  
23 filing.

24 **(h) Joint Statement of the Case and Requests for Voir**  
25 **Dire**

26 At the Pre-Trial Conference, the parties shall file their  
27 proposed voir dire questions and their joint statement of the  
28 case which the Court shall read to all prospective jurors

1 prior to the commencement of voir dire. The statement should  
2 be not longer than two or three paragraphs.

3 The Court conducts voir dire of all prospective jurors.  
4 The parties need not submit requests for standard voir dire  
5 questions, such as education, current occupation, marital  
6 status, prior jury service, etc., but should include only  
7 proposed questions specifically tailored to the parties and  
8 issues of the case.

9 **7. COURT TRIALS**

10 **(a) Declarations of Witness Direct Testimony**

11 Counsel in non-jury trials shall submit the direct  
12 testimony of their witnesses in writing in a declaration  
13 executed under penalty of perjury. These declarations shall  
14 be in admissible form with an appropriate foundation  
15 established for the declarant's statements. Paragraphs in  
16 each declaration shall be numbered consecutively to  
17 facilitate the identification of paragraphs for evidentiary  
18 objections. Any exhibits which are attached to a witness  
19 declaration shall be numbered consistently with the number of  
20 the exhibit on the Joint Exhibit List.

21 Counsel are to exchange and file these declarations at  
22 least fourteen calendar days before trial, unless otherwise  
23 ordered by the Court. Courtesy Copies shall be provided to  
24 the Court in accordance with Section 1 of this Order.  
25 Courtesy Copies shall be submitted to the Court in a slant D-  
26 ring binder with each declaration separated by a tab divider  
27 on the right side. All documents must be three-hole punched

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1 with the oversized 13/32" hole size, not the standard 9/32"  
2 hole size. The binders shall also contain a Table of  
3 Contents listing the declarations contained therein, and the  
4 spine of the binder shall be labeled with its contents.

5 Eight calendar days before trial, counsel may file  
6 evidentiary objections to those declarations. Counsel shall  
7 prepare a separate document for each declaration for which  
8 they have an evidentiary objection in which they shall quote  
9 the specific language from the declaration to which they  
10 object, followed by the objection and any relevant argument.  
11 Counsel shall file any reply or response to the objections by  
12 noon on the fifth calendar day before trial. Courtesy Copies  
13 shall be provided to the Court in accordance with Section 1  
14 of this Order. **DO NOT SUBMIT BLANKET OR BOILERPLATE**  
15 **OBJECTIONS TO THE OPPOSING PARTY'S WITNESS DECLARATIONS.**  
16 **THESE WILL BE DISREGARDED AND OVERRULED.**

17 At trial, the Court will rule on the evidentiary  
18 objections and, depending upon the ruling, the declarations  
19 will be received in evidence, either in whole or in part, or  
20 rejected. Counsel will then conduct the cross-examination  
21 and re-direct examination at trial.

22 Failure to comply with the terms of this Order will  
23 result in sanctions or the Court may refuse to allow that  
24 witness to testify.

25 **(b) Trial Briefs**

26 Counsel for each party shall file and serve a trial  
27 brief, not to exceed 15 pages in length, fourteen calendar  
28 days before trial.

1           **(c) Findings of Fact and Conclusions of Law**

2           Counsel for each party shall file and serve initial  
3 proposed findings of fact and conclusions of law fourteen  
4 calendar days before trial. Counsel for each party shall  
5 also e-mail a copy of their proposed findings of fact and  
6 conclusions of law to the Chambers' e-mail address  
7 (JFW\_Chambers@cacd.uscourts.gov) on the date due. Counsel  
8 for each party shall then:

9           (i)     Underline in red the portions which it  
10                 disputes;

11           (ii)    Underline in blue the portions which it  
12                 admits; and

13           (iii)   Underline in yellow the portions which it does  
14                 not dispute, but deems irrelevant.

15           Counsel may agree with a part of a finding or conclusion,  
16 disagree with a part of it, and/or consider a part of it  
17 irrelevant.

18           Two marked copies of opposing counsel's proposed findings  
19 of fact and conclusions of law shall be filed with the Court  
20 seven calendar days before trial and one marked copy shall be  
21 served on opposing counsel. Courtesy Copies shall be  
22 provided to the Court in accordance with Section 1 of this  
23 Order.

24           **8. SETTLEMENT**

25           This Court will not conduct settlement conferences in  
26 non-jury cases which the Court will try unless counsel for  
27 all parties and their respective clients agree either in

28           / / /

1 writing or on the record. In jury cases, the Court will  
2 conduct a settlement conference at the parties' joint request  
3 if three conditions exist:

4 (a) The parties are satisfied that the fact issues in  
5 the case will be tried to a jury;

6 (b) All significant pre-trial rulings which the Court  
7 must make have been made; and

8 (c) The parties desire the Court to conduct the  
9 conference, understanding that if settlement fails, the Court  
10 will preside over trial of the case.

11 The parties must file a Status Report re: Settlement at  
12 the time they lodge the Proposed Pre-Trial Conference Order.  
13 The Status Report shall include the name and phone number of  
14 the Settlement Officer who assisted the parties with their  
15 settlement conference.

16 **Caveat:** If counsel fail to cooperate in the preparation  
17 of the required Pre-Trial documents, fail to file the  
18 required Pre-Trial documents, or fail to appear at the Pre-  
19 Trial Conference and such failure is not otherwise  
20 satisfactorily explained to the Court: (a) the cause shall  
21 stand dismissed for failure to prosecute if such failure  
22 occurs on the part of the plaintiff; (b) default judgment  
23 shall be entered if such failure occurs on the part of the  
24 defendant; or (c) the Court may take such action as it deems  
25 appropriate.

26 IT IS SO ORDERED.

27 DATED: June 2, 2015

28 \_\_\_\_\_  
JOHN F. WALTER  
UNITED STATES DISTRICT JUDGE



**JUDGE JOHN F. WALTER  
SCHEDULE OF TRIAL AND PRE-TRIAL DATES**

<b>Matter</b>	<b>Time</b>	<b>Weeks before trial</b>	<b>Plaintiff(s) (Request)</b>	<b>Defendant(s) (Request)</b>	<b>Court Order</b>
<b>Trial (jury)</b> Estimated length: <u>3</u> days	8:30 am				4/26/16
<b>[Jury trial] Hearing on Motions in Limine; Hearing on Disputed Jury Instructions</b>	10:00 am				4/22/16
<b>[Court trial] Hearing on Motions in Limine</b>	10:00 am				X
<b>Pre-Trial Conference; File Proposed Voir Dire Qs and Agreed-to Statement of Case</b>	10:00 am				4/8/16
<b>Submit Pre-Trial Conf. Order; File Motions in Limine; Memo of Contentions of Fact and Law; Pre-Trial Exhibit Stipulation; Summary of Witness Testimony and Time Estimates; File Status Report re: Settlement; File Agreed Upon Set of Jury Instructions and Verdict Forms; File Joint Statement re Disputed Instructions, Verdicts, etc.</b>					3/30/16
<b>Last day for hearing motions *</b>	1:30 pm				3/7/16
<b>Discovery cut-off</b>					2/16/16

**ADDITIONAL MATTERS TO BE DETERMINED AT SCHEDULING  
CONFERENCE**

<b>Last day to conduct Settlement Conference</b>					1/11/16
<b>Last day to file Joint Report re: results of Settlement Conference</b>					1/19/16

\* Motions for class certification shall be filed in accordance with Local Rule 23-3.